REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

| 1. AGENCY USE ONLY (Leave blank) | 2. REPORT DATE | 3. REPORT TYPE AND I | DATES COVERED |
|-----------------------------------|---------------------------------------|------------------------|-----------------------------|
| I. AGLITO! USL CHE! (Leave blank) | September 1997 | | p 96 - 31 Aug 97) |
| 4. TITLE AND SUBTITLE | Jopeoms of 200 | | 5. FUNDING NUMBERS |
| Genetic Counseling Using | g BRCA1-Linked Marker | s | DAMD17-94-J-4340 |
| | | | |
| 6. AUTHOR(S) | | | |
| Henry T. Lynch, M.D. | | | |
| | | | |
| 7. PERFORMING ORGANIZATION NAT | ME(S) AND ADDRESS(ES) | | B. PERFORMING ORGANIZATION |
| Creighton University | | | REPORT NUMBER |
| Omaha, Nebraska 681 | - | | |
| | | | |
| | | | |
| 9. SPONSORING/MONITORING AGEN | CY NAME(S) AND ADDRESS(ES) | | 10. SPONSORING/MONITORING |
| Commander | · | | AGENCY REPORT NUMBER |
| U.S. Army Medical Resea | | and | |
| Fort Detrick, Frederick | , MD 21702-5012 | | |
| | | I | |
| 11. SUPPLEMENTARY NOTES | | | |
| 11. SOFFEENER ATT TO 125 | | 100 | 00040 |
| | | 1997 | אח פינעווא |
| | | | 80212 060 _ |
| 12a. DISTRIBUTION / AVAILABILITY | STATEMENT | 1 | |
| Approved for public rel | ease: distribution un | limited | |
| Approved for public for | cabe, arberradore an | | |
| | | | |
| | | | |
| 13. ABSTRACT (Maximum 200 | | | |
| Panid davelanments in cano | cer genetics have exposed a k | nowledge vacuum ah | out genetic testing for |
| suscentibility to cancer Ou | or experience in testing for B_{ij} | RCA1 or BRCA2 mut | ation in hereditary breast |
| cancer (HBC) syndrome, wi | ith counseling about cancer s | surveillance and mana | gement, inclusive of the |
| option of prophylactic surge | ery, provides some important | information. We pro | ovided DNA-based (BRCA1, |
| BRCA2 germ-line mutation) |) findings on 442 patients fro | m 37 HBC families. | The top two reasons for |
| receiving genetic test results | s are for their children and fo | or their own health su | rveillance. Of those women |
| who have tested positive for | r BRCA1 and have been cou | nseled, 40% had alrea | ady developed breast cancer |
| and 6% had already develor | ped ovarian cancer, while in | BRCA2 25% had dev | reloped breast cancer and |
| 0% had developed ovarian o | cancer. Of the unaffected wo | omen, prior to counse | ling 59% from BRCA1 and |
| 46% from BRCA2 said they | y would consider prophylacti | c mastectomy if their | result was positive; 76% of |
| BRCA1 and 50% of BRCA | 2 cases said they would cons | ider prophylactic oop | phorectomy. Full |
| interpretation of these finding | ngs will be possible only who | en long-term outcome | e results are available. |
| | | | |
| 14. SUBJECT TERMS BRCA1, Ge | netic Counseling. Bre | ast Cancer. | 15. NUMBER OF PAGES |
| Psychological Monitorin | _ | | 32 |
| Anatomical Samples | • | | 16. PRICE CODE |
| 1 | | | |

OF REPORT

17. SECURITY CLASSIFICATION

Unlimited

19. SECURITY CLASSIFICATION 20. LIMITATION OF ABSTRACT

OF ABSTRACT

Unclassified

18. SECURITY CLASSIFICATION

OF THIS PAGE

Unclassified

| AD |) | |
|----|---|--|
| | | |

GRANT NUMBER DAMD17-94-J-4340

TITLE: Genetic Counseling Using BRCA1-Linked Markers

PRINCIPAL INVESTIGATOR: Henry T. Lynch, M.D.

CONTRACTING ORGANIZATION: Creighton University
Omaha, Nebraska 68178

REPORT DATE: September 1997

TYPE OF REPORT: Annual

PREPARED FOR: Commander

U.S. Army Medical Research and Materiel Command Fort Detrick, Frederick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for public release;

distribution unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

FOREWORD

Opinions, interpretations, conclusions and recommendations are those of the author and are not necessarily endorsed by the U.S. Army.

Where copyrighted material is quoted, permission has been obtained to use such material.

Where material from documents designated for limited distribution is quoted, permission has been obtained to use the material.

Citations of commercial organizations and trade names in this report do not constitute an official Department of Army endorsement or approval of the products or services of these organizations.

In conducting research using animals, the investigator(s) adhered to the "Guide for the Care and Use of Laboratory Animals," prepared by the Committee on Care and Use of Laboratory Animals of the Institute of Laboratory Resources, National Research Council (NIH Publication No. 86-23, Revised 1985).

For the protection of human subjects, the investigator(s) adhered to policies of applicable Federal Law 45 CFR 46.

____ In conducting research utilizing recombinant DNA technology, the investigator(s) adhered to current guidelines promulgated by the National Institutes of Health.

In the conduct of research utilizing recombinant DNA, the investigator(s) adhered to the NIH Guidelines for Research Involving Recombinant DNA Molecules.

In the conduct of research involving hazardous organisms, the investigator(s) adhered to the CDC-NIH Guide for Biosafety in Microbiological and Biomedical Laboratories.

PI - Signature

Date

TABLE OF CONTENTS

| | Page numbers |
|----------------------|--------------|
| Front Cover | 1 |
| Report Documentation | 2 |
| Foreword | 3 |
| Table of Contents | 4 |
| Introduction | 5 |
| Body | 6 |
| Discussion | 15 |
| References | 18-27 |
| Figure | 28 |
| Tables | 29-32 |

INTRODUCTION

Between 5% and 10% of breast cancer cases can be traced to primary genetic factors. Before the discovery of the BRCA1 and BRCA2 genes, if a first-degree relative in the direct genetic lineage of a family had hereditary breast cancer (HBC) or the hereditary breast-ovarian cancer (HBOC) syndrome, the best estimate of family members' genetic risk for breast cancer was 50%. Now the lifetime cancer destiny of a patient who carries a BRCA1 or BRCA2 germ-line mutation can be determined with an extraordinary degree of precision. However, a major concern facing clinicians is how to use this powerful genetic knowledge without harming the patient.

This progress report has identified the following features which appear to be mandatory for the management of hereditary breast cancer at-risk patients: (a) compilation of a detailed family history of cancer of all anatomic sites; (b) understanding of the natural history of HBC/HBOC and its heterogeneous forms and the pathobiology of hereditary breast cancer; (c) preparation for performance of genetic counseling that is based on the results of DNA sequencing to detect genes related to cancer susceptibility; and (d) necessity for the genetic counselors to provide the counselees the information they need to appreciate the emotions they may encounter, such as fear, anxiety, and apprehension, and the ordeal of being subjected to discrimination by insurance companies and/or employers.

The advantages to the patient as a result of this molecular genetic movement include the following: (a) ability to predict who is and who is not at inordinately high risk for cancer; (b)

ability to provide opportunities for highly targeted disease surveillance and management; and (c) the ability to give patients the information they need to make appropriate long-term decisions about matters such as surgical prophylaxis.

Our results impact upon virtually all of these concerns in what may constitute the world's largest number of patients counseled for *BRCA1/BRCA2* risk by a single research team.

BODY

Purpose

The purpose of this study is to describe genetic counseling experiences of 352 BRCA1 and 90 BRCA2 patients who are members of 37 hereditary breast-ovarian cancer (HBOC) prone families.

Methods

Our methods have not changed since the inception of our investigation. Figure 1 depicts the process followed by Creighton University in the study. ¹ DNA is collected on patients who are affected and are first-degree relatives of affected individuals in a hereditary breast cancer (HBC) and/or hereditary breast-ovarian cancer (HBOC) syndrome family. They receive genetic counseling prior to DNA collection at our Family Information Session (FIS), which is directed toward the family unit and includes intensive education about the natural history, genetics, as well as the implications of DNA disclosure inclusive of the potential for fear, anxiety, apprehension, intrafamily strife, insurance discrimination and even employer discrimination. The testing of the DNA is performed in the laboratories of Steven Narod, M.D., of Toronto, Canada, and Gilbert Lenoir, Ph.D., D.V.M., of Lyon, France. This enables us to have cross checking for accuracy in

that the findings are examined in two separate laboratories. After a mutation is identified in the family all individuals who are 18 years old or older and who have not already donated a blood sample are invited to participate.

At the time of disclosure, the patients are offered another FIS, and whenever possible this is held in a geographic area where most of the patients reside. The genetic counseling is then done on an individual basis although, when desired by the patient, he or she may bring a significant other such as a husband, fiancée, parent or sibling to sit in on the disclosure genetic counseling session.

Results

For the most part, our high-risk *BRCA1* and *BRCA2* families have been extremely cooperative, particularly when we have been able to provide them with the convenience of being evaluated in their own geographic area of residence, as evidenced in Table 1 which reflects the geographic sites for our FIS's and genetic counseling sessions.

Table 2. This table provides information about the demographic characteristics of the 29 BRCA1 and 8 BRCA2 families that have undergone DNA-based genetic counseling. Note that there are fewer positives for BRCA1 and BRCA2 mutations than expected based on an autosomal dominant model. Part of the reason for this is that we did test individuals who were judged to be at 25% risk for the germ-line mutation, and thereby this would have reduced the likelihood of showing a 1:1 ratio of positives to negatives for the mutations. The reason for testing individuals at 25% risk included cases where a direct line parent may have died prematurely without cancer and

herein we would have estimated that parent had had a 50% risk and thus his or her progeny would have a 25% risk for carrying the germ-line mutation.

Of keen interest, are the number of individuals who were germ-line positive who developed carcinoma of the breast in both the *BRCA1* and *BRCA2* mutation settings. Note also the positive rate for ovarian carcinoma in the *BRCA1* but not the *BRCA2* setting. These findings are important in that we are still learning about the full complement of cancers which may be integral to the *BRCA1* and *BRCA2* phenotypes.

Table 3. The results reflect the reasons for taking risk assessment in our BRCA1 and BRCA2 families. These findings include those from our previous publication dealing with 181 subjects who underwent DNA-based genetic counseling². Note that the major reason for being tested and counseled was concern about the patients' children and primary relatives, with their own personal needs for surveillance being of secondary importance. About one-fourth of the patients remain curious as to what their gene status might be. Their concerns about long-term planning accounted for about 14% of both BRCA1 and BRCA2 of those family members who responded to the question, with a lesser number (6% and 2% respectively) concerned about the implications of prophylactic surgery for themselves. Not unexpectedly, approximately one-half of the patients positive for BRCA1 and BRCA2 were not surprised to learn of their results. They stated that so many cancer deaths occurred in their respective families that they thought this would be their own destiny. A lesser number were emotionally moved by learning of their results. Those who received what they interpreted as "good news," namely that they did not inherit the germ-line mutation, were both relieved and appeared to be happy.

<u>Table 4.</u> Dealing with *BRCA1* and *BRCA2* screening and prophylactic mastectomy, we see some rather interesting results. One hundred and six of the women were positive for the *BRCA1* mutation while 151 were negative.

It is of interest, but not unexpected, that of the *BRCA1* positives who were counseled 40 of the 106 (38%) already underwent either bilateral mastectomies or unilateral mastectomies with contralateral prophylactic mastectomy for breast cancer (12 had bilateral breast cancer and 28 had unilateral breast cancer). Only one out of 151 (.7%) of the patients who were negative for *BRCA1* underwent a unilateral mastectomy with contralateral prophylactic mastectomy for breast cancer.

Earlier testing for BRCA1 may have conceivably saved the lives of some of those who were positive for the BRCA1 mutation by enabling them to consider the option of prophylactic mastectomy. As one individual who was dying from breast cancer stated, if she would have known she was positive, and the risks associated with the finding, she would have opted for a prophylactic mastectomy.

Prophylactic mastectomies took place in 12 (11%) of those who eventually turned out to be positive for *BRCA1* and were counseled, while among those negative for the germ-line mutation 11 (7%) had undergone prophylactic mastectomies.

Regarding breast cancer surveillance prior to counseling (excluding all women who had bilateral mastectomies and based on the number of women who were asked and who responded) we see that 44 out of 53 (83%) of the *BRCA1* positives and 110 out of 126 (87%) of *BRCA1* negatives had undergone mammography while 31 out of 53 (58%) of the germ-line positives and 86 out of 126 (68%) of the germ-line negatives had undergone a physician examination. This suggests that these individuals responded well to our educational messages.

Of those considering prophylactic mastectomy (excluding women who had bilateral mastectomies and who did not respond to the question) we see that prior to DNA disclosure 18/31 (58%) of *BRCA1* positives and 38/63 (60%) of *BRCA1* negatives considered prophylactic mastectomy.

Interestingly, after receiving *BRCA1* results 17 out of 21 (81%) women who were germ-line positive and responded to the question, considered prophylactic mastectomy as a viable option, while none of the 8 (0%) women who were germ-line negative considered this a viable option.

In summary, the increased frequency of breast cancer in germ-line positive patients is in keeping with the inordinately high risk for breast cancer in *BRCA1* affected individuals. The fact that 26% of the patients who were counseled and who were members of these families underwent contralateral prophylactic mastectomy is in keeping with increased education and increasing physician knowledge about the subject of breast cancer risk to the contralateral breast.

With respect to *BRCA2*, again our numbers are very small. However, we see similar screening for breast cancer, undoubtedly due to the educational impact. Considering prophylactic mastectomy, we see that 3 of the 8 (38%) women who were positive for the *BRCA2* germ-line mutation,

counseled, and who responded to the question considered this a viable option while 8 out of 15 (53%) women who were negative considered this a viable option. After disclosure of *BRCA2* results, 3 out of 3 (100%) of the positives and none of the negatives considered this a viable option. The reason for the decrease in responses from 8 to 3 is due to the fact that this data was collected in a genetic counseling setting; therefore patient needs, questions, and emotional support were a priority over data collection.

Twenty percent (31 positive, 20 negative) of all of the women counseled (n=257) in *BRCA1* families had prophylactic oophorectomies prior to counseling, compared to only 4% (3 positive, 0 negative) in the *BRCA2* families (total numbers in *BRCA2* are obviously quite small). Partial explanation for decreased prophylactic oophorectomies in *BRCA2* may be due to the lack of emphasis during the counseling sessions relevant to the magnitude of the problem of ovarian carcinoma in *BRCA2* germ-line carriers.

Not unexpectedly, oophorectomies for cancer occurred in those patients who were positive for *BRCA1* (6 affecteds or 6% of the total *BRCA1* positive women counseled). Twenty-nine percent of the 106 *BRCA1* positive women counseled underwent prophylactic oophorectomy prior to receiving their results. Eleven women (10% of the total *BRCA1* positive women counseled) underwent oophorectomies for other medical reasons (ie, dysmenorrhea, cysts, fibroids, etc.). Interestingly, 30 of 31 (97%) women who were counseled as being *BRCA1* positive and who were asked the question whether they would consider prophylactic oophorectomy did not reject the idea.

In ovarian cancer surveillance prior to the counseling sessions (excluding all women with bilateral oophorectomies for any reason and women who were not asked or did not respond to the question), we see that 56% of BRCAI positives and 42% of BRCAI negatives underwent CA125 testing while 88% of the BRCAI positives and 36% of the BRCAI negatives underwent ovarian ultrasound. Some possible reasons for the large difference between the percentages of BRCAI positive and negative individuals may be explained by the fact that about one third of the women who were ultimately told they were BRCAI positive were already affected with breast cancer and may have assumed they were carriers and were thus more diligent with ovarian cancer screening.

Of those women who responded to the question, 24/34 (71%) of *BRCA1* positive and 50/62 (81%) of *BRCA1* negative individuals considered prophylactic oophorectomy as a viable option. Post disclosure, 30/31 (97%) of *BRCA1* positive and 0/8 (0%) of *BRCA1* negative individuals still considered prophylactic oophorectomy.

Reasons for the increased rate (97%) of BRCA1 patients considering prophylactic oophorectomy was likely due to the intense emphasis given to the limitations of ovarian cancer screening and the suggestion that an option exists for them to undergo prophylactic oophorectomy once they have completed their families.

A point can be raised relevant to the economics of these decisions. Specifically, there is certainly a saving of money as well as the possible morbidity involved in prophylactic oophorectomy for those who are negative for the *BRCA1* mutation, as evidenced by the fact that 0/8 would consider

this a viable option. Some of the long term morbidity would be an increased risk for cardiovascular disease and osteoporosis, as well as the psychological stress of "castration" once oophorectomized.

Among the *BRCA2* patients, we see that none of the mutation positive or mutation negative patients had manifested ovarian cancer, a fact that is in accord with the relative decreased risk for ovarian cancer in *BRCA2* mutation carriers when compared to the ovarian cancer risk in *BRCA1* mutation carriers. Likewise, we see that only 9% (3) of patients who were positive for the mutation and responded to the question considered this a viable option prior to receiving results while none of those who were negative considered it a viable option.

In the BRCA2 families, out of 30 women (excluding all women with bilateral oophorectomies for any reason and women who were not asked or did not respond to the question), 4 of the 14 (29%) women who tested positive and 6 out of 16 (38%) women who tested negative for the mutation underwent CA125 screening prior to receiving results. With respect to transvaginal ovarian ultrasound, 5 of the 14 (36%) who tested positive and 0 out of 16 (0%) who tested negative, underwent this type of screening prior to receiving results.

With respect to counseling, we see that prior to disclosure of the results 4/10 (40%) who responded to the question of *BRCA2* germ-line positives and 9/15 (60%) of the *BRCA2* negatives would have opted for prophylactic oophorectomy. After counseling, 2/2 (100%) of germ-line positive and 0/1 (0%) of the negatives considered prophylactic oophorectomy a viable option.

There are 413 individuals from *BRCA1* and *BRCA2* families who have been tested but have not received their result. Sixty-nine (17%) of the 413 have results that are still pending. One-hundred eighty-five (45%) have either a) returned their consent form and are waiting for a counseling session, b) have not returned their consent form to receive their result, or c) are not mentally or physically able to receive their result. And of the remaining individuals, 46 (11%) are deceased, 19 (5%) have been lost to contact, and 94 (23%) have refused to receive their results. Three-fourths of individuals who declined to receive their results did not express a specific reason. Of the remaining decliners, there were various reasons given such as fear of receiving a positive result, fear of insurance discrimination, or the individual only wanted to contribute to research without receiving a result.

The following are examples of selected anecdotal situations related to individuals declining their results. One woman in a *BRCA2* family who assumed she would be affected at an early age and die from breast cancer just like her mother, requested that her husband receive her result so that she would not be lying if she were asked by her insurance company if she had ever received a genetic test result based on her family history. A second example dealing with the fear of receiving a positive result and insurance discrimination is of a woman who fully participated in the research study up to the point of actually receiving her test result. At that point she decided she could not psychologically handle the result if she were positive. All screening recommendations based on her pedigree position were provided to her in lieu of disclosure of her result.

DISCUSSION

Rapid advances in molecular genetics during the past decade have aroused public and professional concern about how cancer risk assessment and DNA testing for cancer susceptibility can be effectively translated into cancer prevention through targeted screening and management protocols. The application of this knowledge into the clinical practice setting, particularly testing for germ-line mutations in genes such as BRCA1 and BRCA2 in hereditary breast cancer (HBC), APC in FAP, and hMSH2 and hMLH1 in HNPCC, has become a matter of research priority in oncology^{3,4}. However, there are multiple impediments to this application such as the fact that many physicians lack knowledge and appreciation of the significance of genetics in general, and in particular in cancer; family history of cancer is frequently neglected or its significance is not appreciated by health care providers^{5,6}; the potential for psychological stress, family disruption, and employment or insurance discrimination has affected patients' willingness and readiness to undergo genetic testing, participate in screening protocols, and consider prophylactic surgery^{7,8}. It is essential to provide educational opportunities and to develop mechanisms that will facilitate acquisition of sufficient family history to screen patients for potential genetic risk for cancer and referral for cancer risk assessment and counseling. Insurance executives and public policy makers need to be convinced of the need for privacy of genetic information and the potential economic savings through identification and appropriate management of high-risk individuals.

Creighton University's cancer genetic research team has been involved in cancer genetic counseling in conjunction with genetic testing in families with FAP, attenuated FAP, HBC, and HNPCC (based on genetic linkage analysis and/or tests for mutations in the APC, BRCA1, BRCA2, hMSH2, and hMLH1 genes) since 1992. We have collected pre and post-counseling data

on all counseled patients, including 35 attenuated FAP family members, 442 HBC family members and 162 HNPCC family members to date. Our results have been published or accepted for publication^{2,9-12}. In brief, we found that 52% of the 677 high-risk participants from HBC families requested *BRCA1* test results, and 48% have not received their genetic test result for various reasons (i.e. declined, deceased, pending results, pending disclosure, etc.).

Our collaborator, namely, Dr. Caryn Lerman's group at Georgetown University has found that cancer-specific distress was significantly and positively related to *BRCA1* test use, whereas global distress was not¹³. In later findings¹⁰ 60% of 279 family members requested their test results. Those who requested their results were more likely to have health insurance, more first-degree relatives affected with breast cancer, and more knowledge about *BRCA1* testing. Noncarriers of *BRCA1* mutations showed a significant reduction in depressive symptoms and functional impairment compared with carriers and nontested individuals. Mutation carriers did not exhibit increases in depression and functional impairment.

In another study of 60 women from one large family with a *BRCA1* mutation, Croyle, et al¹⁴ found relatively high levels of specific test-related distress after results were provided to carriers who had no history of cancer or prophylactic surgery. Additional study will be required to clarify the seeming discrepancy between findings and to assess the long-term consequences of testing. Additional issues to be addressed in the long-term follow-up of these individuals include impact of risk assessment, with or without genetic testing, on health-related behaviors; changes in individuals' self-concept, including risk perceptions, related to testing; and the impact of genetic testing on family relationships, including communication and coping behaviors¹⁵. Because

knowledge of cancer genetics and genetic testing were related to use of *BRCA1* testing¹⁰ and testing has profound psychosocial and behavioral implications for both individuals and their families¹⁶, effective ways to communicate this knowledge to potentially large numbers of persons must be determined.

Concurrent with these ongoing studies of counseling, we have brought our expertise in cancer genetics to numerous successful collaborations which have advanced the field. These studies have included discoveries of genetic linkage in certain cancer prone families¹⁷⁻²², identification of cancer-associated mutations²³⁻²⁹, new phenotypic features of previously described hereditary cancer syndromes³⁰⁻³⁶, quantification of cancer risk in carriers^{37,38}, studies of screening and prophylactic surgery in high-risk patients³⁹⁻⁴¹, pathology correlates of hereditary cancer syndromes⁴²⁻⁴⁸, and tumor genetics⁴⁹⁻⁵². This record of accomplishment demonstrates the effectiveness of the Creighton team in collaborative studies of various types.

Our recommendations will continue to be more education, protective aspects relevant to legislation which could have lifesaving potential, and the need for psychological counseling and the fact that we saw a relatively low rate of severe emotional responses.

LITERATURE CITED

- 1. Lemon SJ, Tinley ST, Fusaro RM, Lynch HT: Cancer risk assessment in a hereditary cancer prevention clinic and its first year's experience. Cancer 80(suppl):606-613, 1997.
- 2. Lynch HT, Lemon SJ, Durham C, Tinley ST, Connolly C, Lynch J, Surdam J, Orinion E, Slominski-Caster S, Watson P, Lerman C, Tonin P, Serova O, Lenoir G, Narod S: A descriptive study of BRCA1 testing and reactions to disclosure of test results. Cancer 79:2219-2228, 1997.
- 3. American Society of Clinical Oncology AH: Resource document for curriculum development in cancer genetics education. J Clin Oncol 15:2157-2169, 1997.
- 4. Andrykowski MA, Lightner R, Studts JL, Munn RK: Hereditary cancer risk notification and testing: how interested is the general population? J Clin Oncol 15:2139-2148, 1997.
- 5. Fusaro RM, Johnsen LR, Hoden RH, Lynch HT: A questionnaire survey of Midwest dermatologists on the clinical-genetic aspects of patients with multiple atypical nevi. Nebr Med J 78:132-137, 1993.
- 6. Fusaro RM, Hoden RH, Johnsen LR, Egelston TG, Lynch HT: The clinical use of genealogical techniques in cancer investigations: a questionnaire survey. J Cancer Educ 8:217-225, 1993.
- 7. Gil F: Hereditary breast cancer risk: factors associated with the decision to undergo BRCA1 testing. Eur J Cancer Prev 5:488-490, 1996.

- 8. Beckmann MW, Schnürch HG, Bodden-Heidrich R, Mosny DS, Crombach G, Nitz U, Achnoula M, Bender HG: Early cancer detection programmes for women at high risk for breast and ovarian cancer: a proposal of practical guidelines. Eur J Cancer Prev 5:468-475, 1996.
- 9. Lynch HT, Lemon S, Smyrk T, Franklin B, Karr B, Lynch J, Slominski-Caster S, Murphy P, Connolly C: Genetic counseling in hereditary nonpolyposis colorectal cancer: an extended family with MSH2 mutation. Am J Gastroenterol 91:2489-2493, 1996.
- 10. Lerman C, Narod S, Schulman K, Hughes C, Gomez-Caminero A, Bonney G, Gold K, Trock B, Main D, Lynch J, Fulmore C, Snyder C, Lemon SJ, Conway T, Tonin P, Lenoir G, Lynch H: BRCA1 testing in families with hereditary breast-ovarian cancer: a prospective study of patient decision-making and outcomes. JAMA 275:1885-1892, 1996.
- 11. Lynch HT, Watson P, Conway TA, Lynch JF, Slominski-Caster SM, Narod SA, Feunteun J, Lenoir G: DNA screening for breast/ovarian cancer susceptibility based on linked markers: a family study. Arch Intern Med 153:1979-1987, 1993.
- 12. Lynch HT, Lemon SJ, Karr B, Franklin B, Lynch JF, Watson P, Tinley S, Lerman C, Carter C: Etiology, natural history, management and molecular genetics of HNPCC (Lynch syndromes): genetic counseling implications. Cancer Epidemiology, Biomarkers & Prevention In press:1997.

- 13. Lerman C, Schwartz MD, Lin TH, Hughes C, Narod S, Lynch HT: The influence of psychological distress on use of genetic testing for cancer risk. J Consult Clin Psychol 65:414-420, 1997.
- 14. Croyle RT, Smith KR, Botkin JR, Baty B, Nash J: Psychological responses to BRCA1 mutation testing: preliminary findings. Health Psychology 16:63-72, 1997.
- 15. Botkin JR, Croyle RT, Smith KR, Baty BJ, Lerman C, Goldgar DE, Ward JM, Flick BJ, Nash JE: A model protocol for evaluating the behavioral and psychosocial effects of BRCA1 testing. J Natl Cancer Inst 88:872-882, 1996.
- 16. Lerman C, Audrain J, Croyle RT: DNA testing for heritable breast cancer risks: lessons from traditional genetic counseling. Ann Behavioral Med 16:327-333, 1994.
- 17. Narod SA, Feunteun J, Lynch HT, Watson P, Conway T, Lenoir GM: Familial breast-ovarian cancer locus on chromosome 17q12-q23. Lancet 388:82-83, 1991.
- 18. Spirio L, Otterud B, Stauffer D, Lynch H, Lynch P, Watson P, Lanspa S, Smyrk T, Cavalieri J, Howard L, Burt R, White R, Leppert M: Linkage of a variant or attenuated form of adenomatous polyposis coli to the adenomatous polyposis coli (APC) locus. Am J Hum Genet 51:92-100, 1992.

- 19. Simard J, Feunteun J, Lenoir G, Tonin P, Normand T, The VL, Vivier A, Lasko D, Morgan K, Rouleau GA, Lynch HT, Labrie F, Narod S: Genetic Mapping of the breast-ovarian cancer syndrome to a small interval on chromosome 17q12-21: exclusion of candidate genes EDH17B2 and RARA. Human Molecular Genetics 2:1193-1199, 1993.
- 20. Tonin P, Serova O, Simard J, Lenoir G, Feunteun J, Morgan K, Lynch H, Narod S: The gene for hereditary breast-ovarian cancer, BRCA1, maps distal to EDH17B2 in chromosome region 17q12-q21. Hum Mol Genet 3:1679-1682, 1994.
- 21. Nystrom-Lahti M, Parsons R, Sistonen P, Pylkkanen L, Aaltonen LA, Leach FS, Hamilton SR, Watson P, Bronson E, Fusaro R, Cavalieri J, Lynch J, Lanspa SJ, Smyrk T, Lynch P, Drouhard T, Kinzler KW, Vogelstein B, Lynch HT, de la Chapelle A, Peltomaki P: Mismatch repair genes on chromosomes 2p and 3p account for a major share of hereditary nonpolyposis colorectal cancer families evaluable by linkage. Am J Hum Genet 55:659-665, 1994.
- 22. Narod SA, Ford D, Devilee P, Barkardottir RB, Lynch HT, Smith SA, Ponder BAJ, Weber BL, Garber JE, Birch JM, Cornelis RS, Kelsell DP, Spurr NK, Smyth E, Haites N, Sobol H, Bignon Y, Chang-Claude J, Hamann U, Linkblom A, Borg A, Piver MS, Gallion HH, Struewing JP, Whittemore A, Tonin P, Goldgar DE, Easton DF, Breast Cancer Linkage Consortium: An evaluation of genetic heterogeneity in 145 breast-ovarian cancer families. Am J Hum Genet 56:254-264, 1995.

- 23. Leach FS, Nicolaides NC, Papadopoulos N, Liu B, Jen J, Parsons R, Peltomaki P, Sistonen P, Aaltonen LA, Nystrom-Lahti M, Guan X, Zhang J, Metzler PS, Yu J, Kao F, Chen DJ, Cerosaletti KM, Fournier REK, Todd S, Lewis T, Leach RJ, Naylor SL, Weissenbach J, Mecklin J, Jarvinen H, Petersen GM, Hamilton SR, Green J, Jass J, Watson P, Lynch HT, Trent JM, de la Chapelle A, Kinzler KW, Vogelstein B: Mutations of a mutS homolog in hereditary nonpolyposis colorectal cancer. Cell 75:1215-1225, 1993.
- 24. Spirio L, Olschwang S, Groden J, Robertson M, Samowitz W, Joslyn G, Gelbert L, Thliveris A, Carlson M, Otterud B, Lynch H, Watson P, Lynch P, Laurent-Puig P, Burt R, Hughes JP, Thomas G, Leppert M, White R: Alleles of the APC gene: an attenuated form of familial polyposis. Cell 75:951-957, 1993.
- 25. Papadopoulos N, Nicolaides NC, Wei Y, Ruben SM, Carter KC, Rosen CA, Haseltine WA, Fleishmann RD, Fraser CM, Adams MD, Venter JC, Hamilton SR, Petersen M, Watson P, Lynch HT, Peltomaki P, Mecklin JP, de la Chapelle A, Kinzler KW, Vogelstein B: Mutation of a mutL homolog in hereditary colon cancer. Science 263:1625-1629, 1994.
- 26. Liu B, Parsons RE, Hamilton SR, Petersen GM, Lynch HT, Watson P, Markowitz S, Willson JKV, Green J, de la Chapelle A, Kinzler KW, Vogelstein B: hMSH2 mutations in hereditary nonpolyposis colorectal cancer kindreds. Cancer Res 54:4590-4594, 1994.
- 27. Liu B, Parsons R, Papadopoulos N, Nicolaides NC, Lynch HT, Watson P, Jass JR, Dunlop M, Wyllie A, Peltomaki P, de la Chapelle A, Hamilton SR, Vogelstein B, Kinzler KW: Analysis of

mismatch repair genes in hereditary non-polyposis colorectal cancer patients. Nature Medicine 2:169-174, 1996.

- 28. Phelan CM, Rebbeck TR, Weber BL, Devilee P, Ruttledge MH, Lynch HT, Lenoir GM, Stratton MR, Easton DF, Ponder BAJ, Cannon-Albright L, Larsson C, Goldgar DE, Narod SA:

 Ovarian cancer risk in BRCA1 carriers is modified by the HRAS1 variable number of tandem repeat (VNTR) locus. Nature Genetics 12:309-311, 1996.
- 29. Tonin P, Weber B, Offit K, Couch F, Rebbeck T, Neuhausen S, Godwin AK, Daly M, Costalas J, Berman D, Grana G, Fox E, Kane MF, Kolodner RD, Haber D, Struewing J, Warner E, Rosen B, Foulkes W, Lerman C, Peshkin B, Serova O, Lynch HT, Lenoir GM, Narod SA, Garber JE: A high frequency of *BRCA1* and *BRCA2* mutations in 222 Ashkenazi Jewish breast cancer families. Nat Med 2:1179-1183, 1996.
- 30. Lynch HT, Richardson JD, Amin M, Lynch JF, Cavalieri RJ, Bronson E, Fusaro RM: Variable gastrointestinal and urologic cancers in a Lynch syndrome II kindred. Dis Colon Rectum 34:891-895, 1991.
- 31. Lanspa SJ, Rouse J, Smyrk T, Watson P, Jenkins JX, Lynch HT: Epidemiologic characteristics of the flat adenoma of muto: a prospective study. Dis Colon Rectum 35:543-546, 1992.

- 32. Narod S, Lynch HT, Conway T, Watson P, Feunteun J, Lenoir G: Increasing incidence of breast cancer in family with BRCA1 mutation. Lancet 341:1101-1102, 1993.
- 33. Lynch HT, Fusaro RM, Sandberg AA, Bixenman HA, Johnsen LR, Lynch JF, Ramesh KH, Leppert M: Chromosome instability and the FAMMM syndrome. Cancer Genet Cytogenet 71:27-39, 1993.
- 34. Risinger JI, Berchuck A, Kohler MF, Watson P, Lynch HT, Boyd J: Genetic instability of microsatellites in endometrial carcinoma. Cancer Res 53:5100-5103, 1993.
- 35. Aaltonen LA, Peltomaki P, Mecklin JP, Jarvinen H, Jass JR, Green JS, Lynch HT, Watson P, Tallqvist G, Juhola M, Sistonen P, Hamilton SR, Kinzler KW, Vogelstein B, de la Chapelle A: Replication errors in benign and malignant tumors from hereditary nonpolyposis colorectal cancer patients. Cancer Res 54:1645-1648, 1994.
- 36. Risinger JI, Barrett JC, Watson P, Lynch HT, Boyd J: Molecular genetic evidence of the occurrence of breast cancer as an integral tumor in patients with the hereditary nonpolyposis colorectal cancer syndrome. Cancer 77:1836-1843, 1996.
- 37. Watson P, Lynch HT: Extracolonic cancer in hereditary nonpolyposis colorectal cancer. Cancer 71:677-685, 1993.

- 38. Watson P, Vasen HFA, Mecklin J, Jarvinen H, Lynch HT: The risk of endometrial cancer in hereditary nonpolyposis colorectal cancer. Am J Med 96:516-520, 1994.
- 39. Lanspa SJ, Jenkins JX, Watson P, Smyrk TC, Cavalieri RJ, Lynch JF, Lynch HT: Adenoma follow-up in at-risk Lynch syndrome family members. Anticancer Res 13:1793-1794, 1993.
- 40. Lynch HT, Lynch J, Conway T, Severin M: Psychological aspects of monitoring high risk women for breast cancer. Cancer 74(suppl):1184-1192, 1994.
- 41. Struewing JP, Watson P, Easton DF, Ponder BAJ, Lynch HT, Tucker MA: Prophylactic oophorectomy in inherited breast/ovarian cancer families. J Natl Cancer Inst Monogr 17:33-35, 1995.
- 42. Narod S, Tonin P, Lynch H, Watson P, Feunteun J, Lenoir G: Histology of BRCA1-associated ovarian tumors [letter]. Lancet 343:236, 1994.
- 43. Marcus JN, Watson P, Page DL, Lynch HT: The pathology and heredity of breast cancer in younger women. J Natl Cancer Inst (Monogr) 16:23-34, 1994.
- 44. Lynch HT, Lynch J, Conway T, Watson P, Coleman RL: Familial aggregation of carcinoma of the endometrium. Am J Obstet Gynecol 171:24-27, 1994.

- 45. Jass JR, Smyrk TC, Stewart SM, Lane MR, Lanspa SJ, Lynch HT: Pathology of hereditary non-polyposis colorectal cancer. Anticancer Res 14:1631-1634, 1994.
- 46. Marcus JN, Watson P, Page DL, Narod SA, Lenoir GM, Tonin P, Linder-Stephenson L, Salerno G, Conway TA, Lynch HT: Hereditary breast cancer: pathobiology, prognosis, and BRCA1 and BRCA2 gene linkage. Cancer 77:697-709, 1996.
- 47. Salazar H, Godwin AK, Daly MB, Laub PB, Hogan WM, Rosenblum N, Boente MP, Lynch HT, Hamilton TC: Microscopic benign and invasive malignant neoplasms and a cancer-prone phenotype in prophylactic oophorectomies. J Natl Cancer Inst 88:1810-1820, 1996.
- 48. Dyck HG, Hamilton TC, Godwin AK, Lynch HT, Maines-Bandiera S, Auersperg N: Autonomy of the epithelial phenotype in human ovarian surface epithelium: changes with neoplastic progression and with a family history of ovarian cancer. Int J Cancer (Pred Oncol) 69:429-436, 1996.
- 49. Godwin AK, Vanderveer L, Schultz DC, Lynch HT, Altomare DA, Buetow KH, Daly M, Getts LA, Masny A, Rosenblum N, Hogan M, Ozols RF, Hamilton TC: A common region of deletion on chromosome 17q in both sporadic and familial epithelial ovarian tumors distal to BRCA1. Am J Hum Genet 55:666-667, 1994.
- 50. Dangel A, Meloni AM, Lynch HT, Sandberg AA: Deletion (5q) in a desmoid tumor of a patient with Gardner's syndrome. Cancer Genet Cytogenet 78:94-98, 1994.

- 51. Goggins M, Schutte M, Lu J, Moskaluk CA, Weinstein CL, Petersen GM, Yeo CJ, Jackson CE, Lynch HT, Hruban RH, Kern SE: Germline *BRCA2* gene mutations in patients with apparently sporadic pancreatic carcinomas. Cancer Res 56:5360-5364, 1996.
- 52. Ruggeri BA, Huang L, Berger D, Chang H, Klein-Szanto AJP, Goodrow T, Wood M, Obara T, Heath CW, Lynch H: Molecular pathology of primary and metastatic ductal pancreatic lesions: analysis of mutations and expression of the p53, mdm-2, and p21/WAF1 genes in sporadic and familial lesions. Cancer 79:700-716, 1997.

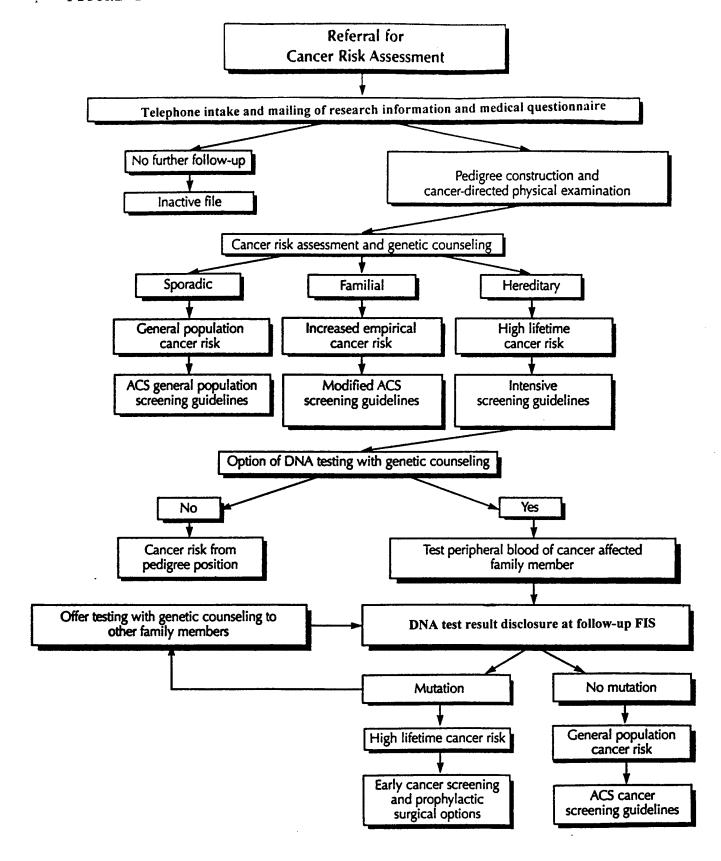


TABLE 1

COUNSELED INDIVIDUALS

| FAMILY | DATE OF FIS | LOCATION |
|--------------|-------------------------------|---|
| BRCA1 | | |
| 2979 | 8-29-93 | OMAHA, NE |
| 1816 | 3-7-92 & 8-19-95 | MINNEAPOLIS, MN |
| 2775 | 7-9-94 | IOWA CITY, IA |
| 1234 | 8-20-94 | OMAHA, NE |
| 1813 | 1-29-95 | SIOUX CITY, IA |
| 2090 | 2-18-95 | KANSAS CITY, KS |
| 2770 | 3-18-95 | KANSAS CITY, KS |
| 2651 | 4-22-95 | TOPEKA, KS |
| 1973 | 5-27-95 | OMAHA, NE |
| 2944 | 5-27-95 | KANSAS CITY, KS |
| 3079 | 6-10-95 | QUEENS, NY |
| 1086 | 10-7-95 | MINNEAPOLIS, MN |
| 2749 | 10-28-95 | MOLINE, IL |
| 1252 | 10-29-95 | MOLINE, IL |
| 2850 | 3-13-96 | SPOKANE, WA |
| BRCA2 | | |
| 2932 3433 | 1-13-96 5-11-96 7-20-96 | ST. LOUIS, MO FARGO, ND SEATTLE, WA |

SESSIONS THAT MULTIPLE FAMILIES WERE INVITED TO BASED ON GEOGRAPHIC LOCATION (BRCA1 AND BRCA2)

| 8-24-96 | KANSAS CITY, KS |
|----------|------------------|
| 9-8-96 | BALTIMORE, MD |
| 9-28-96 | OMAHA, NE |
| 10-19-96 | RICHMOND, VA |
| 11-9-96 | TULSA, OK |
| 11-10-96 | DALLAS, TX |
| 2-15-97 | LOS ANGELES, CA |
| 3-1-97 | ORLANDO, FL |
| 3-2-97 | TALLAHASSEE, FL |
| 3-22-97 | SEATTLE, WA |
| 4-26-97 | MINNEAPOLIS, MN |
| 5-17-97 | DES MOINES, IA |
| 5-31-97 | LOUISVILLE, KY |
| 6-1-97 | LANSING, MI |
| 6-28-97 | NEW YORK, NY |
| 6-29-97 | PHILADELPHIA, PA |

TABLE 2. DEMOGRAPHIC CHARACTERISTICS OF 29 BRCA1 FAMILIES AND 8 BRCA2 FAMILIES.

| BRCA1 | BRCA2 |
|---|---|
| 6178 | 1761 |
| 3750 | 948 |
| 396 | 101 |
| 70 | 21 |
| o.a.) 677 | 178 |
| 243 | 78 |
| 368 | 88 |
| 57 | 12 |
| 9 | 0 |
| 352 | 90 |
| 143 | 43 |
| 205 | 47 |
| 4 | 0 |
| 441 | 138 |
| Top four cancer sites for BRCA2: | |
| 1. Breast Positive Negative Gene Status Unknown 2. Lung Positive Negative Gene status unknown 3. Prostate Positive Negative Gene status unknown 4. Ovarian Positive Negative Negative Negative Negative | 78 34 3 51 16 0 2 14 13 3 0 10 7 0 7 |
| | 6178 3750 396 70 677 243 368 57 9 352 143 205 4 441 Top four cancer sites for BRCA2: 1. Breast Positive Negative Gene Status Unknown 2. Lung Positive Negative Gene status unknown 3. Prostate Positive Negative Gene status unknown 4. Ovarian Positive |

TABLE 3. DEMOGRAPHIC CHARACTERISTICS AND REASONS FOR SEEKING RISK ASSESSMENT IN 352 COUNSELED MEMBERS OF 29 BRCA1 FAMILIES AND 90 COUNSELED MEMBERS OF 8 BRCA2 FAMILIES.

| | Counseled BRCA1 Individuals (n = 352) | Counseled BRCA2 Individuals (n = 90) |
|------------------------------------|---|--------------------------------------|
| Sex | Number (%) | Number (%) |
| Male Female | 93 (26) 259 (74) | 22 (24) 68 (76) |
| BRCA1 Cancer Affected | 82 (23) | 20 (22) |
| Age at Time of Counseling, years | | |
| Mean | 45 | 44 |
| Range | 19-84 | 19-78 |
| 2441-8-2 | | |
| Reason for seeking risk assessment | | |
| Children and/or family | 217 (62) | 63 (70) |
| Surveillance | 122 (35) | 42 (47) |
| Curiosity | 94 (27) | 24 (27) |
| What future holds/long | | |
| term planning | 48 (14) | 13 (14) |
| For research purposes | 26 (7) | 6 (7) |
| For possible prophylactic | | |
| surgery | 21 (6) | 2 (2) |
| Relieve anxiety | 16 (5) | 4 (4) |
| Family pressure | 4 (1) | 3 (3) |
| Emotional Response to receiving re | sults | |
| Gene positive | (n = 143) | (n = 43) |
| Appeared not to | | |
| be surprised | 60 (42) | 24 (56) |
| Appeared to be sad/crying | 49 (34) | 14 (33) |
| No apparent reaction | 29 (20) | 5 (12) |
| Claimed to feel guilty | 9 (6) | 0 (0) |
| Claimed a sense of relief | 8 (6) | 2 (5) |
| Appeared to be angry | 5 (4) | 0 (0) |
| Gene negative | (n = 205) | (n = 47) |
| Appeared to be happy | 124 (61) | 37 (79) |
| Appeared to be relieved | 106 (52) | 19 (40) |
| Appeared to be surprised | 36 (18) | 15 (32) |
| No apparent reaction | 12 (6) | 2 (4) |
| Claimed feelings of | | |
| survival guilt | 4 (2) | 2 (4) |

TABLE 4. SURVEILLANCE PRACTICES AND ATTITUDES TOWARD PROPHYLACTIC SURGERIES IN 257 FEMALE MEMBERS OF 29 BRCA1 FAMILIES AND 68 FEMALE MEMBERS IN 8 BRCA2 FAMILIES.

Number/n* (%)

| | BRCA1 Positive | BRCA1 Negative | BRCA2 Positive | BRCA2 Negative |
|---|--|--|--|--|
| BREAST | | | | |
| Mastectomies Prior to the Counseling | g Session | | | |
| Number of women counseled who were affected with breast cancer | 40/106 (38) | 1/151 (.7) | 8/32 (25) | 0/36 (0) |
| Mastectomies for bilateral breast cancer Unilateral mastectomy for breast cancer & unilateral | 12/106 (11) | 0/151 (0) | 6/32 (19) | 0/36 (0) |
| prophylactic mastectomy of contralateral breast | 28/106 (26) | 1/151 (.7) | 2/32 (6) | 0/36 (0) |
| Prophylactic Bilateral Mastectomy | 12/106 (11) | 11/151 (7) | 2/32 (6) | 1/36 (3) |
| Surveillance Prior to the Counseling Session | | | | |
| Mammography MD Exam Self Breast Exam | 44/53 (83) 31/53 (58) 35/53 (66) | 110/126 (87) 86/126 (68) 82/126 (65) | 16/22 (73) 16/22 (73) 16/22 (73) | 28/33 (85) 29/33 (88) 28/33 (85) |
| Considering Prophylactic Mastecton | ny | | | |
| Before receiving results After receiving results | 18/31 (58) 17/21 (81) | 38/63 (60) 0/8 (0) | 3/8 (38) 3/3 (100) | 8/15 (53) 0/1 (0) |
| OVARY Bilateral Oophorectomies Prior to th | e Counseling S | ession | | |
| Number of women counseled who were affected with ovarian | J | | | |
| cancer | 6/106 (6) | 1/151 (.6) | 0/32 (0) | 0/36 (0) |
| Oophorectomy for Cancer Prophylactic Oophorectomy Oophorectomy (Other medical | 6/106 (6) 31/106 (29) | 1/151 (.6) 20/151 (13) | 0/32 (0) 3/32 (9) | 0/36 (0) 0/36 (0) |
| indications: dysmenorrhea, etc.) | 11/106 (10) | 13/151 (9) | 1/32 (3) | 0/36 (0) |
| Surveillance Prior to the Counseling Session | | | | |
| CA125 Ultrasound | 14/25 (56) 22/25 (88) | 26/62 (42) 22/62 (35) | 4/14 (29) 5/14 (36) | 6/16 (38) 0/16 (0) |
| Considering Prophylactic Oophorect | tomy | | | |
| Before receiving results After receiving results | 24/34 (71) 30/31 (97) | 50/62 (81) 0/8 (0) | 4/10 (40) 2/2 (100) | 9/15 (60) 0/1 (0) |

^{*} The "n" varies from item to item since not all questions were asked and/or responded to within the genetic counseling setting.